

# Linear-time admission control for elastic scheduling

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Accepted: 14 July 2021 / Published online: 2 August 2021 © The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2021

### Abstract

Prior algorithms that have been proposed for the uniprocessor implementation of systems of elastic tasks have computational complexity quadratic  $(O(n^2))$  in the number of tasks *n*, for both initialization and for admitting new tasks during runtime. We present a more efficient implementation in which initialization takes quasi-linear  $(O(n \log n))$ , and on-line admission control, linear (O(n)), time.

Keywords Preemptive uniprocessor scheduling · Elastic tasks · Admission control

## 1 Introduction

The elastic recurrent real-time workload model (Buttazzo et al. 1998, 2002) provides a framework for dealing with overload by compressing (i.e., reducing) the effective utilizations of individual tasks until the cumulative utilization falls below the utilization bound that can be accommodated. Each task  $\tau_i = (U_i^{\min}, U_i^{\max}, E_i)$  is characterized by the minimum amount of utilization  $U_i^{\min}$  that it must be provided and the maximum amount  $U_i^{\max}$  that it is able to use, as well as an additional elasticity parameter  $E_i$  that "specifies the flexibility of the task to vary its utilization" (Buttazzo et al. 1998). Given a system  $\Gamma = \{\tau_1, \tau_2, \dots, \tau_n\}$  of n such elastic tasks, the objective is to assign each task  $\tau_i$  a utilization  $U_i^{\min} \leq U_i \leq U_i^{\max}$ , such that

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(1)  $\sum_{i=1}^{n} U_i$  is as large as possible but bounded from above by a specified constant  $U_d$  which denotes the maximum cumulative utilization that can be accommodated; and (2) if  $U_i > U_i^{\min}$  and  $U_j > U_i^{\min}$  then  $U_i$  and  $U_j$  must satisfy the relationship<sup>1</sup>

$$\left(\frac{U_i^{\max} - U_i}{E_i}\right) = \left(\frac{U_j^{\max} - U_j}{E_j}\right) \tag{1}$$

A task system  $\Gamma$  for which such  $U_i$  exist for all the tasks is said to be <u>feasible</u>. An algorithm was presented in Buttazzo et al. (1998) Fig. 3 for determining feasibility and of computing the appropriate values for the utilizations —the  $U_i$ 's— of feasible systems in  $O(n^2)$  time. Essentially this same algorithm was also repurposed in Buttazzo et al. (1998) for admission control: for determining whether a new task seeking to join an already-executing system could be admitted without compromising feasibility, and if so, recomputing the utilization values for the new task as well as for all preëxisting ones. Extensions to elastic scheduling that were proposed by Chantem et al. (2006, 2009) reformulate the problem of determining the utilizations as a quadratic programming problem. This allows the iterative technique in Buttazzo et al. (1998) to be applied to a more general class of problems. However, this reformulation continues to have quadratic time-complexity. In this short note we present a more efficient implementation of the algorithm of Buttazzo et al. (1998) Fig. 3 that determines feasibility and computes the  $U_i$  values in  $O(n \log n)$  time, and does admission control in O(n) time.

#### 2 Overview of Prior Results

Let  $\Gamma$  denote a feasible task system with  $E_i > 0$  for all tasks<sup>2</sup>  $\tau_i \in \Gamma$ , and consider the  $U_i$  values that bear witness to this feasibility (i.e., each  $U_i$  either equals  $U_i^{\min}$ , or satisfies Expression 1). The tasks in  $\Gamma$  may be partitioned into two classes  $\Gamma_{\text{VARIABLE}}$ (those tasks for which  $U_i > U_i^{\min}$ , and which can therefore have their utilizations "varied" –compressed– further if necessary) and  $\Gamma_{\text{FIXED}}$  (those for which  $U_i = U_i^{\min}$ ; i.e., their utilizations are now "fixed"). It has been shown (Buttazzo et al. 1998, Eqn. 8) that for each  $\tau_i \in \Gamma_{\text{VARIABLE}}$ 

$$U_{i} = U_{i}^{\max} - \left(\frac{U_{\text{SUM}} - \left(U_{d} - \Delta\right)}{E_{\text{SUM}}}\right) \times E_{i}$$
(2)

where  $U_{\text{SUM}} = \left(\sum_{\tau_i \in \Gamma_{\text{VARIABLE}}} U_i^{\text{max}}\right)$  and  $E_{\text{SUM}} = \left(\sum_{\tau_i \in \Gamma_{\text{VARIABLE}}} E_i\right)$  respectively denote the sum of the  $U_i^{\text{max}}$  parameters and the  $E_i$  parameters of all the tasks in  $\Gamma_{\text{VARIABLE}}$ ,

<sup>&</sup>lt;sup>1</sup> For tasks  $\tau_i$  having  $E_i = 0, U_i = U_i^{\min}$ , and therefore the relationship needs not be satisfied.

<sup>&</sup>lt;sup>2</sup> All tasks  $\tau_i$  with  $E_i = 0$  must have  $U_i \leftarrow U_i^{\text{max}}$  in order to satisfy Expression 1; we assume this is done in a pre-processing step, and the value of  $U_d$  updated to reflect the remaining available utilization.

and  $\Delta = \left(\sum_{\tau_i \in \Gamma_{\text{FIXED}}} U_i^{\min}\right)$  denotes the sum of the  $U_i^{\min}$  parameters of all the tasks in  $\Gamma_{\text{FIXED}}^{-3}$  Given a set of elastic tasks  $\Gamma$ , the algorithm of Buttazzo et al. (1998) Fig. 3 starts out computing  $U_i$  values for the tasks assuming that they are all in  $\Gamma_{\text{VARIABLE}}$  — i.e., their  $U_i$  values are computed according to Expression 2. If any  $U_i$  so computed is observed to be smaller than the corresponding  $U_i^{\min}$  then that task is moved from  $\Gamma_{\text{VARIABLE}}$  to  $\Gamma_{\text{FIXED}}$ , the values of  $U_{\text{SUM}}$ ,  $E_{\text{SUM}}$ , and  $\Delta$  are updated to reflect this transfer, and  $U_i$  values recomputed for all the tasks. The process terminates if no computed  $U_i$  value is observed to be smaller than the corresponding  $U_i^{\min}$ . It is easily seen that one such iteration (i.e., computing  $U_i$  values for all the tasks) takes O(n) time. Since an iteration is followed by another only if some task is moved from  $\Gamma_{\text{VARIABLE}}$  to  $\Gamma_{\text{FIXED}}$  and there are n tasks, the number of iterations is bounded from above by n. The overall running time for the algorithm of (Fig. 3, Buttazzo et al. (1998)) is therefore  $O(n^2)$ .

Algorithm 1: Elastic_Compression( $\Gamma$ , $U_d$ )
<b>Input:</b> A list $\Gamma$ of elastic tasks sorted in non-decreasing order of their $\phi_i$ parameters (see
Expression 3) and a desired utilization $U_d$
<b>Output:</b> Feasibility and the list $\Gamma$ with computed $U_i$ values
$1 \ U_{\text{SUM}} = 0; E_{\text{SUM}} = 0; \Delta = 0$
2 forall $ au_i \in \Gamma$ do
$3 \qquad U_{\rm SUM} = U_{\rm SUM} + U_i^{\rm max}$
$4 \qquad E_{\rm SUM} = E_{\rm SUM} + E_i$
5 end
6 forall $ au_i \in \Gamma$ do
7 $\operatorname{if} \left( U_i^{\max} - \frac{U_{\mathrm{SUM}} - (U_d - \Delta)}{E_{\mathrm{SUM}}} \times E_i \le U_i^{\min} \right)$ then
8 //Task $\tau_i$ is no longer compressible – it's in $\Gamma_{\text{FIXED}}$
9 $U_i = U_i^{\min}$ //Since $\tau_i \in \Gamma_{\text{FIXED}}$
10 $\Delta = \Delta + U_i^{\min}$ //This additional amount of utilization is allocated to tasks in $\Gamma_{\text{FIXED}}$
11 <b>if</b> $(\Delta > U_d)$ <b>then return</b> INFEASIBLE;
12 //Cannot accommodate the minimum requirements
13 $U_{\text{SUM}} = U_{\text{SUM}} - U_i^{\text{max}}$ //Since $\tau_i$ is removed from $\Gamma_{\text{VARIABLE}}$
14 $E_{\text{SUM}} = E_{\text{SUM}} - E_i //\text{As above}$ — since $\tau_i$ is removed from $\Gamma_{\text{VARIABLE}}$
15 $i = i + 1$ //Proceed to considering the next task
16 else
17 //Remaining tasks are all compressible (i.e., in $\Gamma_{\text{VARIABLE}}$ )
18 $U_i = U_i^{\max} - \frac{U_{\text{SUM}} - (U_d - \Delta)}{E_{\text{SUM}}} \times E_i // \text{As per Expression 2}$
19 end
20 end
21 return FEASIBLE

<sup>&</sup>lt;sup>3</sup> Observe that  $\Delta$  equals the amount of utilization that is allocated to the tasks in  $\Gamma_{\text{FIXED}}$ ; therefore  $(U_d - \Delta)$  represents the amount available for the tasks in  $\Gamma_{\text{VARIABLE}}$ , and  $(U_{\text{SUM}} - (U_d - \Delta))$  the amount by which the cumulative utilizations of these tasks must be reduced from their desired maximums. As shown in the RHS of Expression 2, under elastic scheduling this reduction is shared amongst the tasks in proportion to their elasticity parameters:  $\tau_i$ 's share is  $(E_i/E_{\text{SUM}})$ .

#### 3 Our Approach

Let us define an attribute  $\phi_i$  for elastic task  $\tau_i$  as follows:

$$\phi_i \stackrel{\text{def}}{=} \left( \frac{U_i^{\text{max}} - U_i^{\text{min}}}{E_i} \right) \tag{3}$$

We will prove a result (Theorem 1 below) that allows us to conclude that in the algorithm of (Fig. 3, Buttazzo et al. (1998)), *tasks may be "moved" from*  $\Gamma_{\text{VARIABLE}}$  to  $\Gamma_{\text{FIXED}}$  in order of their  $\phi_i$  parameters.

Assuming that the tasks are indexed in a linked list such that  $\phi_i \leq \phi_{i+1}$  for all  $i, 1 \leq i < n$ , we can then simply make a *single* pass through all the tasks from  $\tau_1$  to  $\tau_n$ , identifying, and computing  $U_i$  values for, all the ones in  $\Gamma_{\text{FIXED}}$  before any of the ones in  $\Gamma_{\text{VARIABLE}}$ . With appropriate book-keeping (see the pseudo-code in Algorithm 1) this can all be done in a single pass in O(n) time. The cost of sorting the tasks in order to arrange them according to non-increasing  $\phi_i$  parameters is  $O(n \log n)$ , and hence dominates the overall run-time complexity: determining feasibility and computing the  $U_i$  parameters can be done in  $O(n \log n) + O(n) = O(n \log n)$  time.

Admission control – determining whether it is safe to add a new task and recomputing all the  $U_i$  parameters if so – requires that the new task be inserted at the appropriate location in the already sorted list of preëxisting tasks — this can be achieved in O(n) time. Once this is done, the  $U_i$  values can be recomputed in O(n) time by the pseudo-code in Algorithm 1. Similarly, removing a task from the system and recomputing the  $U_i$  values also takes O(n) time since sorting is not needed.

#### 4 A Technical Result

We now present the main technical result in this short note.

**Theorem 1** If  $\tau_i \in \Gamma_{\text{FIXED}}$  and  $\phi_i \ge \phi_i$  then  $\tau_i \in \Gamma_{\text{FIXED}}$ .

**Proof** Consider some iteration of the algorithm of (Fig. 3, Buttazzo et al. (1998)) such that  $\tau_i$  and  $\tau_j$  both start out in  $\Gamma_{\text{VARIABLE}}$ , but  $\tau_i$  is determined to belong in  $\Gamma_{\text{FIXED}}$  in this iteration. This implies that  $U_i^{\min}$  is at least as large as the value of  $U_i$  that is computed according to Expression 2:

$$U_i^{\min} \ge U_i^{\max} - \left(\frac{U_{\text{SUM}} - \left(U_d - \Delta\right)}{E_{\text{SUM}}}\right) \times E_i$$

By algebraic simplification of the above, we have

$$\left(\frac{U_{\text{SUM}} - (U_d - \Delta)}{E_{\text{SUM}}}\right) \ge \left(\frac{U_i^{\text{max}} - U_i^{\text{min}}}{E_i}\right)$$
(4)

Note that the LHS of Expression 4 does not contain any term specific to  $\tau_i$  and so is the same for all the tasks in  $\Gamma_{\text{VARIABLE}}$  for this iteration, and that the RHS is simply  $\phi_i$ . Since  $\phi_i \ge \phi_j$  (as per the statement of the theorem), we may conclude by the transitivity of the  $\ge$  operator on the real numbers that the LHS of Expression 4 would also be  $\ge \phi_j$ ; equivalently, the value of  $U_j^{\min}$  is no smaller than the value of  $U_j$  that is computed according to Expression 2, and as a consequence  $\tau_j$ , too, should be moved to  $\Gamma_{\text{FIXED}}$ .

Funding This study was funded by the National Science Foundation.

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